

THEOREM 10.6: The generic curve of genus  $g$  has a  $\gamma_d^n$  if and only if

$$d \geq \frac{n}{n+1} g + n.$$

## 11. THE ESSENTIAL CONSTRUCTION

Given the curve  $\mathcal{C}$  with its linear system of hyperplanes and with  $N$  the number of its  $\text{GF}(q)$ -rational points, consider the set  $\mathcal{F} = \{P | P \in H_p\}$  ; compare §4 for the plane. So  $P \in \mathcal{F} \iff$

$$\det \begin{bmatrix} f_0^q & \dots & f_n^q \\ D_t^{(j_0)} f_0 & \dots & D_t^{(j_0)} f_n \\ \vdots & & \vdots \\ D_t^{(j_{n-1})} f_0 & \dots & D_t^{(j_{n-1})} f_n \end{bmatrix} = 0$$

To give an outline first, take the classical case in which  $j_i = i$ . So, let

$$W' = \det \begin{bmatrix} f_0^q & \dots & f_n^q \\ f_0 & \dots & f_n \\ \vdots & & \vdots \\ D^{(n-1)} f_0 & \dots & D^{(n-1)} f_n \end{bmatrix}$$

If  $W' \neq 0$ , then  $W$  is a function of degree

$$n(n-1)(g-1) + d(q+n)$$

and the rational points are  $n$ -fold zeros of  $W'$ . Hence

$$N \leq (n-1)(g-1) + d(q+n)/n.$$

Since  $\mathcal{D}$  is complete,  $d \leq n+g$ ; hence

$$\begin{aligned} N &\leq (n-1)(g-1) + (n+g)(q+n)/n \\ &= q + 1 + g(n + q/n). \end{aligned}$$

This has minimum value for  $n = \sqrt{q}$ , in which case

$$N \leq q + 1 + 2g\sqrt{q}$$

More carefully, let

$$W_t(v, f) = \det \begin{bmatrix} f_o^q & \dots & f_n^q \\ D_t^{(v_o)} f_o & \dots & D_t^{(v_o)} f_n \\ \vdots & & \vdots \\ D_t^{(v_{n-1})} f_o & & D_t^{(v_{n-1})} f_n \end{bmatrix}$$

where  $t$  is a separating variable on  $\mathcal{C}$  and  $v = (v_o, \dots, v_{n-1})$  with  $0 \leq v_o < \dots < v_{n-1}$ .

**THEOREM 11.1:** (i) There exist integers  $v_o, \dots, v_{n-1}$ , such that  $0 \leq v_o < \dots < v_{n-1}$  and  $W_t(v, f) \neq 0$ .

(ii) If  $v_0, \dots, v_{n-1}$  are chosen successively so that  $v_i$  is as small as possible to ensure the linear independence of  $D^{(v_0)}f, \dots, D^{(v_i)}f$ , then there exists an integer  $n_0$  with  $0 < n_0 \leq n$  such that

$$v_i = \epsilon_i \quad \text{for } i < n_0,$$

$$v_i = \epsilon_{i+1} \quad \text{for } i \geq n_0,$$

where  $\epsilon_0, \dots, \epsilon_n$  are the  $\mathcal{D}$ -orders; that is

$$(v_0, \dots, v_{n-1}) = (\epsilon_0, \dots, \epsilon_{n_0-1}, \epsilon_{n_0+1}, \dots, \epsilon_n).$$

(iii) If  $v' = (v'_0, \dots, v'_{n-1})$  and  $W_t(v', f) \neq 0$ , then  $v_i \leq v'_i$  for all  $i$ .

The integers  $v_i$  are the Frobenius  $\mathcal{D}$ -orders. They and  $S$  depend only on  $\mathcal{D}$ , where

$$S = \text{div}(W_t(v, f)) + \text{div}(dt) \sum v_i + (q+n)E,$$

$$\deg S = (2g-2) \sum v_i + (q+n)d.$$

**THEOREM 11.2:** If  $v \leq q$  is a Frobenius  $\mathcal{D}$ -order, then each non-negative integer  $u$  such that  $\binom{v}{u} \not\equiv 0 \pmod{p}$  is a Frobenius  $\mathcal{D}$ -order. In particular, if  $v_i < p$ , then  $v_j = j$  for  $j \leq i$ .

**THEOREM 11.3:** (i) If  $P$  is a  $\text{GF}(q)$ -rational point of  $\mathcal{C}$ , then

$$m_p(S) \geq \sum_{i=1}^n (j_i - v_{i-1}),$$

with equality if and only if  $\det C \not\equiv 0 \pmod{p}$ , where

$$C = (c_{ir}) \text{ and } c_{ir} = \binom{j_i}{v_{r-1}}, \quad i, r=1, \dots, n.$$

(ii) If  $P \in \mathcal{C}$  but not  $\text{GF}(q)$ -rational, then

$$m_p(S) \geq \sum_{i=1}^{n-1} (j_i - v_i).$$

If  $\det C' \equiv 0 \pmod{p}$ , the inequality is strict, where

$$C' = (c'_{ir}) \text{ and } c'_{ir} = \binom{j_i - 1}{v_{r-1}}, \quad i, r=1, \dots, n.$$

**THEOREM 11.4:** Let  $P$  be a  $\text{GF}(q)$ -rational point of  $\mathcal{C}$ . If  $0 \leq m_0 < \dots < m_{n-1}$  and  $\det C'' \not\equiv 0 \pmod{p}$ , then  $v_i \leq m_i$  for all  $i$ , where  $C'' = (c''_{ir})$  and

$$c''_{ir} = \binom{j_i - j_1}{m_{r-1}}, \quad i, r = 1, \dots, n.$$

**COROLLARY 1:** (i) If  $P$  is a  $\text{GF}(q)$ -rational point of  $\mathcal{C}$ , then  $v_i \leq j_{i+1} - j_i$  for  $i=0, \dots, n-1$  and  $m_p(S) \geq nj_1$ .

(ii) If (a)  $\sum_{1 \leq i < r \leq n} (j_r - j_i)/(r-i) \not\equiv 0 \pmod{p}$ ,

or (b)  $j_i \not\equiv j_r \pmod{p}$  for  $i \neq r$ , or (c)  $p \geq d$ , then  $v_i = i$  for  $i=0, \dots, n-1$

and  $m_p(S) = n + \sum_{i=1}^n (j_i - i)$ .

**COROLLARY 2:** If  $v_i \neq \epsilon_i$  for some  $i < n$ , then each  $\text{GF}(q)$ -rational

point of  $\mathcal{C}$  a  $\mathcal{D}$ -Weierstrass point.

COROLLARY 3: If  $\mathcal{C}$  has some  $\text{GF}(q)$ -rational point, then  $v_i \leq i+d-n$ , all  $i$ . If also  $\mathcal{D}$  is complete, then  $v_i = i$  for  $i < d - 2g$ .

THEOREM 11.5: (THE MAIN RESULT) Let  $X$  be an irreducible, non-singular, projective, algebraic curve of genus  $g$  defined over  $K = \text{GF}(q)$  with  $N$  rational points. If there exists on  $X$  a linear system  $\gamma_d^n$  without base points, and with order sequence  $\epsilon_0, \dots, \epsilon_n$  and Frobenius order sequence  $v_0, \dots, v_{n-1}$ , then

$$N \leq \frac{1}{n} \{ (2g-2) \sum_{i=0}^{n-1} v_i + (q+n)d \}.$$

If also  $v_i = \epsilon_i$  for  $i < n$ , then

$$\epsilon_n N + \sum_P a_P + \sum_{P'} b_{P'} \leq (2g-2) \sum_{i=0}^{n-1} \epsilon_i + (q+n)d,$$

where  $P$  is a  $K$ -rational point of  $X$ , where  $P' \in X$  but not  $K$ -rational and where

$$a_P = \sum_{i \leq n} (j_i - \epsilon_i), \quad b_{P'} = \sum_{i < n} (j_i - \epsilon_i)$$

with  $j_0, \dots, j_n$  the  $(\mathcal{D}, P)$ -orders.

COROLLARY:  $|N - (q+1)| \leq 2g\sqrt{q}$ .

THEOREM 11.6: If  $X$  is non-singular,  $p \geq g \geq 3$  with  $q = p^h$ , and the canonical system is classical, then

$$N \leq 2q + g(g-1).$$

Notes: (1) If  $p \geq 2g-1$ , then the canonical system is classical.

(2) This gives a better bound than  $S_g = q+1 + g[2\sqrt{q}]$  when  $|\sqrt{q}-g| < \sqrt{g+1}$ .

THEOREM 11.7: If  $X$  is non-singular and not hyperelliptic, with  $\frac{1}{2}(p+3) \geq g \geq 3$ , then

$$N \leq \left(\frac{2g-3}{g-2}\right)q + g(q-2).$$

Note : This is better than  $S_g$  when

$$|\sqrt{q} - \frac{g(g-2)}{g-1}| < \{(g-2)(g^2-g-1)\}^{\frac{1}{2}}/(g-1).$$

THEOREM 11.8: If  $X$  is non-singular with classical canonical system and a  $K$ -rational point, then

$$N \leq (g-n-2)(g-1) + (2g-n-2)(q+g-n-1)(g-n-1)^{-1}$$

for  $0 \leq n \leq g-1$ .

## 12. ELLIPTIC CURVES

The number of elements of a  $\gamma_d^n$  on a curve of genus  $g$  with  $n+1$  coincident points, that is  $\mathcal{D}$ -Weierstrass points, is  $(n+1)(d+ng-n)$ . When  $g=1$ , this number is  $d(n+1)$ . If  $\mathcal{D}$  consists of all curves of degree  $r$  and  $\mathcal{C}$  is a plane non-singular cubic, then  $n=\frac{1}{2}r(r+3)$ ,  $d=3r$ . The condition for a  $\gamma_d^n$  to exist is, from Theorem 10.6, that  $d \geq n/(n+1)+n$ . So this only allows  $\gamma_3^2$  and  $\gamma_6^5$ , whence  $d=n+1$  and the number of  $\mathcal{D}$ -Weierstrass points is  $(n+1)^2$ . From the Riemann-Roch theorem, as every series is non-special on  $\mathcal{C}$ , a complete